

# RESOURCES COMPLIANCE

## SEMINARS

### Beat the summer blues with our Regulatory Update

**Resources Compliance** is the specialist regulatory and compliance consulting practice of Resources Global Professionals. We work exclusively with financial services organisations, providing hands-on assistance and practical advice. Our team of specialists covers disciplines from specialised compliance to taxation and trust law, enabling us to serve clients across all financial service areas. Having worked within a regulated firm and/or for a regulator, our professionals seamlessly integrate into client teams and help manage change from the “inside out”.

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The summer may not have lived up to expectations, but the feedback from our seminars earlier in the year was that they were “scorching hot” in giving you what you wanted.

Whilst August is traditionally a quieter period in the retail market, this has not been the case with FSA as a number of important Rule changes/deadlines come into effect and other initiatives have been moved forward.

You may well have missed some of these deadlines, or be unaware of the potential impact upon your firm that these issues pose.

So our innovative, timely and interactive September Seminar will bring you right up to date with these current topics:

- **Disclosure** - The SCDD/CIDD or a one document fix, what works best, meeting the August deadline?
- **The Retail Distribution Review** – An overview of the main issues and implications going forward
- **Regulatory Reporting** – Changes to capital adequacy, how will it affect my type of firm?
- **Pension Switching Review** – What has been the outcome and where now?
- **ARROW issues** – Interesting issues arising from ARROW work that impact small firms.

We will provide you with a practical insight into these issues which will enable you to understand their impact on your firm and determine how best to meet these challenges.

**Location:** 117 Houndsditch, London ,EC3A 7BT  
**Date:** 16<sup>th</sup> September 2009  
**Time:** 10.00am to 1.00pm (followed by buffet lunch)  
**Cost:** £75 (plus VAT)

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